



# HUNATOTEM

CORPORATION

Dear Shareholder,

Attached you will find the forms and requested information necessary for gifting Huna Totem Corporation shares. Some of the paperwork will be completed by the shareholder gifting shares; the majority is to be completed by each recipient accepting the shares, Page 5 through 11 is for the recipient receiving shares.

**Forms to be completed by Shareholder who is gifting shares:**

- **Request for Gifting of Shares Between Living Persons** (Required) List all recipients on this form, and how many shares you are gifting each person. The witness who signs with you may be anyone that is 18 years or older.
- **Testamentary** (recommended – Must be Notarized when signing) After gifting, due to the number of shares changing, we recommend you update your testamentary.
- **Direct Deposit Form** (recommended) If you are not signed up for direct deposit, complete this form to start receiving your payments electronically.
- **Picture of you** (recommended) If you already have a picture for your Shareholder ID on file and wish to update the photo, send a clear profile picture. If you have a picture on file and do not wish to update, we will print your new Shareholder ID once gifting of shares is completed. If you have not submitted a photo before, we recommend you send a profile picture so we can send you your Shareholder ID. You may also stop by the Huna Totem Office, Monday – Friday 8:00 AM to 5:00 PM and we will take your photo.

Please note that the Testamentary **MUST** be signed in the presence of a Notary Public, bank, or postmaster. You may also bring forms to Huna Totem Office, Monday – Friday 8:00 AM to 5:00 PM to be notarized, no appointment required.

Once the forms and any other documents requested are ready, please mail to Shareholder Relations at 9301 Glacier Hwy, Suite 200, Juneau, AK 99801 or e-mail to [Shareholders@HunaTotem.com](mailto:Shareholders@HunaTotem.com).

If you have any questions about any of the forms or requested information, please call us (907)789-8500 ext 2.

Sincerely,

ShareholderServices



# HUNATOTEM CORPORATION

## HUNA TOTEM CORPORATION REQUEST FOR GIFTING OF SHARES BETWEEN LIVING PERSONS PURSUANT TO ANCSA

STATE OF \_\_\_\_\_ )  
COUNTY OR BOROUGH OF \_\_\_\_\_ ) ss:  
or \_\_\_\_\_ JUDICIAL DISTRICT )

My name is \_\_\_\_\_.  
My Huna Totem Corporation enrollment number is \_\_\_\_\_.  
My social security number is \_\_\_\_\_.  
My mailing address is \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

After being first duly sworn, I hereby state under oath:

1. I am currently the owner of \_\_\_\_\_ shares of common stock of Huna Totem Corporation.
2. I understand that pursuant to the Alaska Native Claims Settlement Act (43USC1606,(h)(1)(C)(iii) as amended “. . . Settlement Common Stock may be transferred to a Native or a descendant of a Native . . . as an inter vivos gift from a holder to his or her child, grandchild, great grandchild, niece, nephew, or (if the holder has reached the age of majority as defined by the laws of the State of Alaska) brother or sister.”
3. I understand that the recipient of this gift of shares must be an Alaska Native or descendant of a Native, which includes persons who are family members by legal adoption but not by traditional Native adoption. I have completed the family tree form (which is attached hereto and incorporated by reference) as accurately as possible, to the best of my knowledge and belief. The person or persons to whom I wish to make this gift of shares are in fact the relationship to me as shown below, and are known by me to be either Alaska Natives or descendants, as shown on the attached family tree form. I have attached a certified **copy of the birth certificate** of each recipient and if a person receiving a gift of shares is a relative by adoption, I have attached a true copy of the **court Decree of Adoption** or similar legal proof of adoption.

4. The full name and address, relationship to me, and number of shares to be transferred for each recipient are shown below:

- A. FULL NAME: \_\_\_\_\_ RELATIONSHIP: \_\_\_\_\_  
MAILING ADDRESS: \_\_\_\_\_  
SOC SEC #: \_\_\_\_\_  
BIRTH DATE: \_\_\_\_\_ NATIVE BLOOD QUANTUM: \_\_\_\_\_ NO. SHARES GIFTED \_\_\_\_\_  
PHONE NUMBERS: \_\_\_\_\_ (HM) \_\_\_\_\_ (WK)
- B. FULL NAME: \_\_\_\_\_ RELATIONSHIP: \_\_\_\_\_  
MAILING ADDRESS: \_\_\_\_\_  
SOC SEC #: \_\_\_\_\_  
BIRTH DATE: \_\_\_\_\_ NATIVE BLOOD QUANTUM: \_\_\_\_\_ NO. SHARES GIFTED \_\_\_\_\_  
PHONE NUMBERS: \_\_\_\_\_ (HM) \_\_\_\_\_ (WK)
- C. FULL NAME: \_\_\_\_\_ RELATIONSHIP: \_\_\_\_\_  
MAILING ADDRESS: \_\_\_\_\_  
SOC SEC #: \_\_\_\_\_  
BIRTH DATE: \_\_\_\_\_ NATIVE BLOOD QUANTUM: \_\_\_\_\_ NO. SHARES GIFTED \_\_\_\_\_  
PHONE NUMBERS: \_\_\_\_\_ (HM) \_\_\_\_\_ (WK)
- D. FULL NAME: \_\_\_\_\_ RELATIONSHIP: \_\_\_\_\_  
MAILING ADDRESS: \_\_\_\_\_  
SOC SEC #: \_\_\_\_\_  
BIRTH DATE: \_\_\_\_\_ NATIVE BLOOD QUANTUM: \_\_\_\_\_ NO. SHARES GIFTED \_\_\_\_\_  
PHONE NUMBERS: \_\_\_\_\_ (HM) \_\_\_\_\_ (WK)
- E. FULL NAME: \_\_\_\_\_ RELATIONSHIP: \_\_\_\_\_  
MAILING ADDRESS: \_\_\_\_\_  
SOC SEC #: \_\_\_\_\_  
BIRTH DATE: \_\_\_\_\_ NATIVE BLOOD QUANTUM: \_\_\_\_\_ NO. SHARES GIFTED \_\_\_\_\_  
PHONE NUMBERS: \_\_\_\_\_ (HM) \_\_\_\_\_ (WK)
- F. FULL NAME: \_\_\_\_\_ RELATIONSHIP: \_\_\_\_\_  
MAILING ADDRESS: \_\_\_\_\_  
SOC SEC #: \_\_\_\_\_  
BIRTH DATE: \_\_\_\_\_ NATIVE BLOOD QUANTUM: \_\_\_\_\_ NO. SHARES GIFTED \_\_\_\_\_  
PHONE NUMBERS: \_\_\_\_\_ (HM) \_\_\_\_\_ (WK)

**TOTAL SHARES TRANSFERRED:** \_\_\_\_\_

I understand that I may transfer all or any part of my shares (whole shares only) to the person(s) named above, and that the total number of shares transferred may not exceed the numbers of shares which I presently own.

5. I understand that I may not revoke, take back, or otherwise change this gift of shares once it has been made, and that if there are any taxes owed as a result of this transfer, Huna Totem Corporation will not be responsible for payment of such taxes. I further acknowledge that Huna Totem Corporation has made no representation as to the taxes that may be owed as a result of gifting shares of my stock.
6. I understand that the transfer of the stock places all authority over the stock in the hands of the recipient; that I will no longer have voting rights for the transferred shares; and that I will no longer receive dividends or distributions for the transferred shares.
7. One or more of the persons to whom I am gifting shares are under the age of eighteen (18) years. I hereby nominate the following as custodian(s) for the shares I am gifting to minor(s) as follows:

**Name of Minor:**

**Custodian of Shares:**

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I understand that by signing this affidavit, I am swearing under oath that to the best of my knowledge and belief, everything stated herein is true, and that I am acting of my own free will, and am not under any undue pressure, influence or duress. I affirm that I have not received anything of value nor have I been promised anything of value in compensation or payment for the stock I wish to transfer.

**NOTICE: THE GIFTING OF SHARES OF YOUR HUNA TOTEM CORPORATION STOCK IS PERMANENT. YOU WILL NO LONGER OWN THOSE SHARES. YOU WILL NOT BE ALLOWED TO VOTE THOSE SHARES, NOR WILL YOU BE ENTITLED TO RECEIVE DIVIDENDS FOR THOSE SHARES.**

**IF YOU HAVE ANY QUESTIONS CONCERNING THE GIFTING OF SHARES, PLEASE OBTAIN ANSWERS BEFORE SIGNING THIS AFFIDAVIT.**

DATED this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
SHAREHOLDER PRINTED NAME

\_\_\_\_\_  
SHAREHOLDER SIGNATURE

\_\_\_\_\_  
WITNESS PRINTED NAME

\_\_\_\_\_  
WITNESS SIGNATURE



**TESTAMENTARY DISPOSITION OF HUNA TOTEM CORPORATION SHARES**

I, \_\_\_\_\_ Last 4 of your SSN \_\_\_\_\_ (type or print your name as it appears on your stock certificate and enter the last four of your SSN,) in accordance with AS 13.16.705, execute the following will of my \_\_\_\_\_ shares in Huna Totem Corporation. Upon my death, I leave my shares of stock in Huna Totem Corporation to the following persons or entities, each to receive the number of **whole** shares set forth following their name and address.

**Part A.**

Name/Relationship	Address/Phone	Shares
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**Part B.**

The following options are presented to help you make your Testamentary Disposition clearer.

**1.** If, at the time of my death, I have acquired more shares than those given out in Part A, above, and have not yet completed a valid Testamentary Disposition for the additional shares, I leave the extra shares as follows: **(Check only one box and initial the box you check)**  
 \_\_\_ to those listed in Part A, above, in the same proportion as the shares willed there  
 \_\_\_ in equal numbers to those people/entities listed in Part A, above  
 \_\_\_ all to the following: (name) \_\_\_\_\_ (address) \_\_\_\_\_  
 \_\_\_ to my heirs at law  
 \_\_\_ otherwise, as follows: \_\_\_\_\_

**2.** If other children are born to or adopted to me after the date of this Testamentary Disposition, I wish for them to be included in as nearly equal shares as possible with those listed in Part A.  Yes  No **(Check only one box and initial the box you check)**

**3.** If any of the people named in Part A should die before I do, I leave the shares that are willed to that person as follows: **(Check only one box and initial the box you check)**  
 \_\_\_ to that person's heirs at law  
 \_\_\_ to the surviving people/entities listed in Part A in the same proportion as the shares willed there  
 \_\_\_ in equal numbers to those people/entities listed in Part A  
 \_\_\_ to the following: (name) \_\_\_\_\_ (address) \_\_\_\_\_  
 \_\_\_ to my heirs at law  
 \_\_\_ otherwise, as follows: \_\_\_\_\_

**4.** I have read and understood the "ABOUT YOUR TESTAMENTARY DISPOSITION" page on the back of this document.

\_\_\_ Initials  
 Dated this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

**Signature of Owner** (name must be as it appears on stock certificate)

Notary Block  
 (to be completed by a Notary Public)  
 STATE OF \_\_\_\_\_ )  
 \_\_\_\_\_ ) ss.  
 \_\_\_\_\_ )

(Judicial District or County)  
 I certify that on the \_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me, the undersigned authority, personally appeared \_\_\_\_\_, (name of owner of shares) to me known to be the person whose name appears as Owner and to be person who signed the foregoing Testamentary Disposition, and acknowledged to me that it was freely and voluntarily signed for the uses and purposes therein described.

WITNESS my hand and official seal the day and year first above written.

Notary Public in and for the State of \_\_\_\_\_  
 My Commission Expires: \_\_\_\_\_

## ABOUT YOUR TESTAMENTARY DISPOSITION

This Testamentary Disposition is the last will and testament of your Huna Totem Corporation shares, as authorized by Alaska Statute 13.16.705. When properly completed, this document will direct who will receive your Huna Totem shares after your death. This Testamentary Disposition can be replaced or rescinded by you **only** at any time. The most recent Testamentary Disposition will be used to distribute your Huna Totem Corporation stock upon your death.

This document directs only how your **Huna Totem** shares will pass and is **not** a complete will; you should have a regular will if you wish to be certain that your other property will pass according to your intentions.

Huna Totem will make all reasonable efforts to protect your original Testamentary Disposition; however, the Corporation cannot guarantee its safety and will not be liable for its loss or destruction. Therefore, you should preserve a **certified** photocopy (Make a photocopy of the original document and have a Notary Public certify that it is in fact a photocopy of the original document,) as a reminder of how you have willed your stock. This also ensures a copy exists if the original Testamentary Disposition is somehow lost or destroyed.

As time permits, Huna Totem staff will review your original Testamentary Disposition when you send it in, and if a defect is noticed, it will be returned to you to correct. However, the acceptance and review of your Testamentary Disposition by Huna Totem Corporation in no way guarantees the validity of the document.

Huna Totem Corporation **does not** issue fractions of shares. If you have 100 shares **and** three equal beneficiaries, one will inherit 34 shares, the other two will inherit 33 shares.

If you decide to leave your shares to an entity rather than a person, that stock will become non-voting class B stock. This transfer is also permanent due to gifting and inheritance laws concerning ANCSA stock. **Please be sure to check with the entity prior to listing them on your Testamentary Disposition as many entities have no interest in becoming a Huna Totem Corporation shareholder.**

### Frequently asked questions:

**Q. What are the requirements for a valid Testamentary Disposition?**

**A.** For a Testamentary Disposition to be valid, it must be signed with the name exactly as it appears on the Huna Totem Stock Certificate, dated, and notarized.

**Q. What happens if I don't fill out a Testamentary Disposition and I pass away?**

**A.** If you are without a Will or Testamentary when you pass away, your shares will be handled under Intestate law (determined by Alaska Statute.) Half of your shares will be transferred to your spouse; the other half will be divided between your children. If you have no children, all of your shares will be transferred to your spouse. If you have no spouse, all your shares will be divided amongst your children. If you have had neither, your shares will be divided between your parents. If they have passed, the shares will be divided amongst your siblings. In short, the process can become complicated and your shares may not be divided as you would have wanted. To make sure your shares are divided among your desired heirs you should fill out, notarize, and turn in a Testamentary Disposition.

**Q. What if I am in the process of getting a divorce and pass away without a Testamentary Disposition?**

**A.** If the divorce is not final and you pass away, your spouse will still be entitled to half of your Huna Totem Corporation shares. The best way to ensure your shares are distributed as desired is to fill out, notarize, and turn in your Testamentary Disposition.

**Q. What if I need help filling out my Testamentary Disposition?**

**A.** You can always contact the Huna Totem Corporation office at 1-800-428-8298 with questions regarding your Testamentary Disposition. We are also available in the office to notarize the form for you. While we cannot help you determine who you should leave your shares to, we can help you understand your options on the form.

### Mail your completed form to:

Huna Totem Corporation  
Attn: Shareholder Relations  
9301 Glacier Hwy., Ste. 200  
Juneau, AK 99801

**Keep a certified and notarized copy of your Testamentary Disposition for your files**



**HUNATOTEM**  
CORPORATION

**Huna Totem Corporation**  
9301 Glacier Hwy, Suite 200  
Juneau, AK 99801

**Authorization for Direct Deposit of HTC Dividends/Distributions**

The following authorization is for (check one):  Myself  Ward

Ward's name for which I am custodian: \_\_\_\_\_  
*(please print)*

I hereby authorize Huna Totem Corporation (HTC) and Huna Totem Corporation Shareholders Settlement Trust to initiate credit entries to this bank account, and if necessary, to initiate any corrections and adjustments for any credit entries in error to my depository account specified below. This authority is to remain in full force and effect until HTC has received notification from me of its termination in such time and in such manner as to afford HTC and the Depository a reasonable opportunity to act on it. By signing below, I hereby certify to HTC that I am an owner of this account.

(Select one)  Checking Account OR  Savings Account

Bank Name \_\_\_\_\_ Branch \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_ Bank Phone No. (\_\_\_\_) \_\_\_\_\_

Bank Routing No. \_\_\_\_\_ *(Nine digits; please verify the number with your bank.)*

Account No. \_\_\_\_\_ *(Please verify the number with your bank.)*

Account Holder Name \_\_\_\_\_ Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_  
*(please print)*

Last Four SSN \_\_\_\_\_ Telephone No. (\_\_\_\_) \_\_\_\_\_

Mailing Address \_\_\_\_\_

E-Mail Address \_\_\_\_\_

**Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

Office Use Only- Received by \_\_\_\_\_ Date \_\_\_\_\_



# HUNATOTEM

CORPORATION

Dear Recipient accepting shares,

Attached you will find the forms to be completed by each recipient accepting shares of Huna Totem Corporation. Designation of Custodian form is only required if the recipient accepting shares is under 18 years of age.

**Forms to be completed from EACH recipient being gifted shares:**

- **Acceptance of Gift of Stock** (required) signed by legal custodian if a minor. The witness who signs with you may be anyone that is 18 years or older.
- **Designation of Custodian** (required if a minor accepting shares) signed by legal custodian.
- **Copy of Birth Certificate** (required, clear picture can be e-mailed or, make a copy and send with requested documents)
- **Name change document** (required if name different than your birth certificate. clear picture of name change decree or, current State Driver's license)
- **W-9 Form** (required) signed by legal custodian if a minor.
- **App to Transfer Gifted Shares** (required) signed by legal custodian if a minor.
- **Direct Deposit Authorization** (recommended) Complete this form to receive your payments electronically. Custodian to sign if shares are going to a minor.
- **Picture of you** (recommended) A clear profile picture of each recipient receiving shares for their Shareholder ID Cards. If you are already a Shareholder and have a picture for your Shareholder ID on file and wish to update the photo, send a clear profile picture. If you are already a Shareholder and have a picture on file and do not wish to update, we will print your new Shareholder ID with current photo on file. If you have not submitted a photo before, we recommend you send a profile picture so we can send you your Shareholder ID. You may also stop by the Huna Totem Office, Monday – Friday 8:00 AM to 5:00 PM and we will take your photo.
- **Testamentary** (recommended – Must be Notarized when signing) If you are 18 years or older we recommend you have a testamentary on file.

Please note that the Testamentary MUST be signed in the presence of a Notary Public, bank, or postmaster. You may also bring forms to Huna Totem Office, Monday – Friday 8:00 AM to 5:00 PM to be notarized, no appointment required.

Once the forms and any other documents requested are ready, please mail to Shareholder Relations at 9301 Glacier Hwy, Suite 200, Juneau, AK 99801 or e-mail to [Shareholders@HunaTotem.com](mailto:Shareholders@HunaTotem.com). If you have any questions about any of the forms or requested information, please call us (907)789-8500 ext 2.

Sincerely,  
Shareholder Services





# HUNATOTEM CORPORATION

## HUNA TOTEM CORPORATION ACCEPTANCE OF GIFT OF STOCK

STATE OF \_\_\_\_\_ )  
COUNTY OR BOROUGH OF \_\_\_\_\_ ) ss:  
or \_\_\_\_\_ JUDICIAL DISTRICT )

My name is \_\_\_\_\_.  
My social security number is \_\_\_\_\_.  
My mailing address is \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I understand that I am to receive \_\_\_\_\_ shares of Huna Totem Corporation stock as a gift from \_\_\_\_\_.

I hereby affirm that I have not paid, transferred, or promised anything of value in order to obtain these shares. If this gift is approved, I understand that I will become a shareholder of Huna Totem Corporation with the following rights and responsibilities.

1. I will be responsible for voting the shares I will own from this gift at annual meetings of the corporation if I am a Native or a descendant of a Native.
2. I will be responsible for the future ownership of this stock in that I may:
  - a. Give the stock to my child, grandchild, great grandchild, niece, nephew, brother or sister who is an Alaska Native, or of Alaska Native descent;
  - b. Complete a testamentary disposition form naming the heirs of my shares of stock so that, upon my death, the shares will be transferred to the persons I have named;
  - c. Decide not to complete a will or testamentary disposition form, or simply forget to complete a will or testamentary disposition form, with the result that, upon my death, the inheritance laws of the State of Alaska will determine the heirs to my shares of stock;
  - d. In the event that current stock restrictions are lifted, I may be allowed to sell or transfer the stock to any person I may choose, or use the stock as collateral for a loan.
3. If Huna Totem Corporation pays dividends, I will receive payment of those dividends based upon the shares I will own from this gift.

I have read the above paragraphs and understand the rights and responsibilities associated with this gift of stock. My signature below indicates my acceptance of this gift, and I hereby request that Huna Totem Corporation complete the transfer of shares to me from the person named above.

I further certify that any attached documents, whether photocopied or included as digital files, are a true, exact, complete, and unaltered copy and representation of the original document(s).

DATED this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
SHAREHOLDER PRINTED NAME

\_\_\_\_\_  
SHAREHOLDER SIGNATURE

\_\_\_\_\_  
WITNESS PRINTED NAME

\_\_\_\_\_  
WITNESS SIGNATURE



**HUNATOTEM**  
CORPORATION

**HUNA TOTEM CORPORATION**  
9301 GLACIER HIGHWAY, SUITE 200  
JUNEAU, AK 99801  
(907)789-8500

**DESIGNATION OF CUSTODIAN AND CUSTODIAN’S CONSENT TO APPOINTMENT**

In re \_\_\_\_\_, a minor:

This document certifies that the custodian for all stock and other property that the above-named is entitled to receive from Huna Totem Corporation, pursuant to the provisions of the Alaska Native Claims Settlement Act of December 18, 1971, 85 State. 688 (43U.S.C. 1601 et seq.) (ANCSA), determined in accordance with the provisions of Section 13.46.085 of the Alaska Statutes as set forth on the previous page hereof, is the undersigned

\_\_\_\_\_  
(CUSTODIAN - PLEASE PRINT LEGAL NAME)

Whose mailing address is \_\_\_\_\_

	NO.	STREET
CITY	STATE	ZIP

The undersigned hereby consents to and accepts appointment and designation as custodian for the above-named minor, and agrees to receive and hold as custodian all stock and property distributed to that minor by Huna Totem Corporation pursuant to the provisions of ANCSA, which the minor is or may be entitled to receive. The undersigned further agrees to collect, hold, manage, invest, and reinvest the custodian property in accordance with the Alaska Uniform Transfer to Minors Act, as it may be amended or replaced from time to time. The undersigned acknowledged that he or she has read and understands the provisions of Sections 13.46.085 and 13.46.110 of the Alaska Statutes concerning the appointment, powers, and duties of custodians for minors, which appear on the next page hereof.

Date: \_\_\_\_\_

\_\_\_\_\_  
SIGNATURE OF CUSTODIAN

Legal Relationship of Custodian to  
the Above-Named Minor:

\_\_\_\_\_

## Request for Taxpayer Identification Number and Certification

**Give Form to the  
 requester. Do not  
 send to the IRS.**

▶ Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

<b>Print or type.</b> <b>See Specific Instructions on page 3.</b>	<b>1</b>	Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.		
	<b>2</b>	Business name/disregarded entity name, if different from above		
	<b>3</b>	Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.		<b>4</b> Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
	<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate		Exempt payee code (if any) _____	
	<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.		Exemption from FATCA reporting code (if any) _____	
<input type="checkbox"/> Other (see instructions) ▶ _____		<i>(Applies to accounts maintained outside the U.S.)</i>		
<b>5</b>	Address (number, street, and apt. or suite no.) See instructions.		Requester's name and address (optional)	
<b>6</b>	City, state, and ZIP code			
<b>7</b>	List account number(s) here (optional)			

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>											
				-			-				
<b>or</b>											
<b>Employer identification number</b>											
				-							

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*



# HUNATOTEM CORPORATION

## APPLICATION TO TRANSFER OF GIFTED SHARES

### PLEASE PRINT

1. Name of Recipient: \_\_\_\_\_

Street or P.O. Box: \_\_\_\_\_

City, State, Zip Code: \_\_\_\_\_

Telephone No: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

2. Date of Birth: \_\_\_\_\_

Social Security Number: \_\_\_\_\_

Alaska Native Descendant? Yes  No

Blood Quantum: \_\_\_\_\_ Are you: Eagle Raven

3. Shareholder gifting shares:

Name: \_\_\_\_\_

Relationship to Decedent: \_\_\_\_\_

4. Would you like to go green? Yes No

5. Are you requesting a Shareholder ID Card? Yes No

**\*\*If you are requesting an ID card, please submit a profile picture to our e-mail: [Shareholders@HunaTotem.com](mailto:Shareholders@HunaTotem.com)**

Your Signature \_\_\_\_\_ Date \_\_\_\_\_



**HUNATOTEM**  
CORPORATION

**Huna Totem Corporation**  
9301 Glacier Hwy, Suite 200  
Juneau, AK 99801

**Authorization for Direct Deposit of HTC Dividends/Distributions**

The following authorization is for (check one):  Myself  Ward

Ward's name for which I am custodian: \_\_\_\_\_  
*(please print)*

I hereby authorize Huna Totem Corporation (HTC) and Huna Totem Corporation Shareholders Settlement Trust to initiate credit entries to this bank account, and if necessary, to initiate any corrections and adjustments for any credit entries in error to my depository account specified below. This authority is to remain in full force and effect until HTC has received notification from me of its termination in such time and in such manner as to afford HTC and the Depository a reasonable opportunity to act on it. By signing below, I hereby certify to HTC that I am an owner of this account.

(Select one)  Checking Account OR  Savings Account

Bank Name \_\_\_\_\_ Branch \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_ Bank Phone No. (\_\_\_\_) \_\_\_\_\_

Bank Routing No. \_\_\_\_\_ *(Nine digits; please verify the number with your bank.)*

Account No. \_\_\_\_\_ *(Please verify the number with your bank.)*

Account Holder Name \_\_\_\_\_ Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_  
*(please print)*

Last Four SSN \_\_\_\_\_ Telephone No. (\_\_\_\_) \_\_\_\_\_

Mailing Address \_\_\_\_\_

E-Mail Address \_\_\_\_\_

**Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

Office Use Only- Received by \_\_\_\_\_ Date \_\_\_\_\_



**TESTAMENTARY DISPOSITION OF HUNA TOTEM CORPORATION SHARES**

I, \_\_\_\_\_ Last 4 of your SSN \_\_\_\_\_ (type or print your name as it appears on your stock certificate and enter the last four of your SSN,) in accordance with AS 13.16.705, execute the following will of my \_\_\_\_\_ shares in Huna Totem Corporation. Upon my death, I leave my shares of stock in Huna Totem Corporation to the following persons or entities, each to receive the number of **whole** shares set forth following their name and address.

**Part A.**

Name/Relationship	Address/Phone	Shares
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**Part B.**

The following options are presented to help you make your Testamentary Disposition clearer.

**1.** If, at the time of my death, I have acquired more shares than those given out in Part A, above, and have not yet completed a valid Testamentary Disposition for the additional shares, I leave the extra shares as follows: **(Check only one box and initial the box you check)**

\_\_\_\_\_ to those listed in Part A, above, in the same proportion as the shares willed there

\_\_\_\_\_ in equal numbers to those people/entities listed in Part A, above

\_\_\_\_\_ all to the following: (name) \_\_\_\_\_ (address) \_\_\_\_\_

\_\_\_\_\_ to my heirs at law

\_\_\_\_\_ otherwise, as follows: \_\_\_\_\_

**2.** If other children are born to or adopted to me after the date of this Testamentary Disposition, I wish for them to be included in as nearly equal shares as possible with those listed in Part A.  Yes  No **(Check only one box and initial the box you check)**

**3.** If any of the people named in Part A should die before I do, I leave the shares that are willed to that person as follows: **(Check only one box and initial the box you check)**

\_\_\_\_\_ to that person's heirs at law

\_\_\_\_\_ to the surviving people/entities listed in Part A in the same proportion as the shares willed there

\_\_\_\_\_ in equal numbers to those people/entities listed in Part A

\_\_\_\_\_ to the following: (name) \_\_\_\_\_ (address) \_\_\_\_\_

\_\_\_\_\_ to my heirs at law

\_\_\_\_\_ otherwise, as follows: \_\_\_\_\_

**4.** I have read and understood the "ABOUT YOUR TESTAMENTARY DISPOSITION" page on the back of this document.

\_\_\_\_\_ Initials  
Dated this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

**Signature of Owner** (name must be as it appears on stock certificate)

Notary Block  
(to be completed by a Notary Public)  
STATE OF \_\_\_\_\_ )  
\_\_\_\_\_ ) ss.  
\_\_\_\_\_ )

(Judicial District or County)  
I certify that on the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me, the undersigned authority, personally appeared \_\_\_\_\_, (name of owner of shares) to me known to be the person whose name appears as Owner and to be person who signed the foregoing Testamentary Disposition, and acknowledged to me that it was freely and voluntarily signed for the uses and purposes therein described.

WITNESS my hand and official seal the day and year first above written.

Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

## ABOUT YOUR TESTAMENTARY DISPOSITION

This Testamentary Disposition is the last will and testament of your Huna Totem Corporation shares, as authorized by Alaska Statute 13.16.705. When properly completed, this document will direct who will receive your Huna Totem shares after your death. This Testamentary Disposition can be replaced or rescinded by you **only** at any time. The most recent Testamentary Disposition will be used to distribute your Huna Totem Corporation stock upon your death.

This document directs only how your **Huna Totem** shares will pass and is **not** a complete will; you should have a regular will if you wish to be certain that your other property will pass according to your intentions.

Huna Totem will make all reasonable efforts to protect your original Testamentary Disposition; however, the Corporation cannot guarantee its safety and will not be liable for its loss or destruction. Therefore, you should preserve a **certified** photocopy (Make a photocopy of the original document and have a Notary Public certify that it is in fact a photocopy of the original document,) as a reminder of how you have willed your stock. This also ensures a copy exists if the original Testamentary Disposition is somehow lost or destroyed.

As time permits, Huna Totem staff will review your original Testamentary Disposition when you send it in, and if a defect is noticed, it will be returned to you to correct. However, the acceptance and review of your Testamentary Disposition by Huna Totem Corporation in no way guarantees the validity of the document.

Huna Totem Corporation **does not** issue fractions of shares. If you have 100 shares **and** three equal beneficiaries, one will inherit 34 shares, the other two will inherit 33 shares.

If you decide to leave your shares to an entity rather than a person, that stock will become non-voting class B stock. This transfer is also permanent due to gifting and inheritance laws concerning ANCSA stock. **Please be sure to check with the entity prior to listing them on your Testamentary Disposition as many entities have no interest in becoming a Huna Totem Corporation shareholder.**

### Frequently asked questions:

**Q. What are the requirements for a valid Testamentary Disposition?**

**A.** For a Testamentary Disposition to be valid, it must be signed with the name exactly as it appears on the Huna Totem Stock Certificate, dated, and notarized.

**Q. What happens if I don't fill out a Testamentary Disposition and I pass away?**

**A.** If you are without a Will or Testamentary when you pass away, your shares will be handled under Intestate law (determined by Alaska Statute.) Half of your shares will be transferred to your spouse; the other half will be divided between your children. If you have no children, all of your shares will be transferred to your spouse. If you have no spouse, all your shares will be divided amongst your children. If you have had neither, your shares will be divided between your parents. If they have passed, the shares will be divided amongst your siblings. In short, the process can become complicated and your shares may not be divided as you would have wanted. To make sure your shares are divided among your desired heirs you should fill out, notarize, and turn in a Testamentary Disposition.

**Q. What if I am in the process of getting a divorce and pass away without a Testamentary Disposition?**

**A.** If the divorce is not final and you pass away, your spouse will still be entitled to half of your Huna Totem Corporation shares. The best way to ensure your shares are distributed as desired is to fill out, notarize, and turn in your Testamentary Disposition.

**Q. What if I need help filling out my Testamentary Disposition?**

**A.** You can always contact the Huna Totem Corporation office at 1-800-428-8298 with questions regarding your Testamentary Disposition. We are also available in the office to notarize the form for you. While we cannot help you determine who you should leave your shares to, we can help you understand your options on the form.

### Mail your completed form to:

Huna Totem Corporation  
Attn: Shareholder Relations  
9301 Glacier Hwy., Ste. 200  
Juneau, AK 99801

**Keep a certified and notarized copy of your Testamentary Disposition for your files**